

**Annexure B**

Investor Complaints Data to be displayed by Stock Brokers on their respective website

**Data for every month ending**

| SN | Received From           | Carried forward from previous month | Received during the month | Total Pending | Resolved* | Pending at the end of the month** |                                | Average resolution time^ (in days) |
|----|-------------------------|-------------------------------------|---------------------------|---------------|-----------|-----------------------------------|--------------------------------|------------------------------------|
|    |                         |                                     |                           |               |           | Pending for less than 3 months    | Pending for more than 3 months |                                    |
| 1  | 2                       | 3                                   | 4                         | 5             | 6         | 7                                 |                                | 8                                  |
| 1  | Directly from Investors | NIL                                 | NIL                       | NIL           | NIL       | NIL                               | NIL                            | NIL                                |
| 2  | SEBI (SCORES 2.0)       | NIL                                 | NIL                       | NIL           | NIL       | NIL                               | NIL                            | NIL                                |
| 3  | Stock Exchanges         | NIL                                 | NIL                       | NIL           | NIL       | NIL                               | NIL                            | NIL                                |
| 4  | Other Sources (if any)  | NIL                                 | NIL                       | NIL           | NIL       | NIL                               | NIL                            | NIL                                |
| 5  | <b>Grand Total</b>      | NIL                                 | NIL                       | NIL           | NIL       | NIL                               | NIL                            | NIL                                |

**Trend of monthly disposal of complaints**

| SN | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2                  | 3                                   | 4        | 5         | 6         |
| 1  | April 2024         | NIL                                 | NIL      | NIL       | NIL       |
| 2  | May 2024           | NIL                                 | NIL      | NIL       | NIL       |
| 3  | June 2024          | NIL                                 | NIL      | NIL       | NIL       |
| 4  | July 2024          | NIL                                 | NIL      | NIL       | NIL       |
| 5  | August 2024        | NIL                                 | NIL      | NIL       | NIL       |
| 6  | September 2024     | NIL                                 | NIL      | NIL       | NIL       |
| 7  | October 2024       | NIL                                 | NIL      | NIL       | NIL       |
| 8  | November 2024      | NIL                                 | NIL      | NIL       | NIL       |
| 9  | December 2024      | NIL                                 | NIL      | NIL       | NIL       |
| 10 | January 2025       | NIL                                 | NIL      | NIL       | NIL       |
| 11 | February 2025      | NIL                                 | NIL      | NIL       | NIL       |
| 12 | March 2025         | NIL                                 | NIL      | NIL       | NIL       |
|    | <b>Grand Total</b> |                                     |          |           |           |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

**Trend of annual disposal of complaints**

| SN | Year               | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|--------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2020-21            | NIL                                | NIL                      | NIL                      | NIL                            |
| 2  | 2021-22            | NIL                                | NIL                      | NIL                      | NIL                            |
| 3  | 2022-23            | NIL                                | NIL                      | NIL                      | NIL                            |
| 4  | 2023-24            | NIL                                | NIL                      | NIL                      | NIL                            |
| 5  | 2024-25            | NIL                                | NIL                      | NIL                      | NIL                            |
|    | <b>Grand Total</b> | NIL                                | NIL                      | NIL                      | NIL                            |